

Receipt date: 10/16/2005

To: Frantzy Poinvil Page 7 of 13

2005-10-17 02:16:58 (GMT)

14254918311 From: BJ Bennett

PTO/SB/08A (04-03)

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Substitute for form 1449/PTO		<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Application Number	09/938,874	
		Filing Date	8/27/2001	
		First Named Inventor	Jeff S. Eder	
		Art Unit	3628	
		Examiner Name	Frantzy Poinvil	
Sheet		of	Attorney Docket Number	AR - 21

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 5,224,034 - B1	06-29-1993	Katz, Paul	
		US- 5,237,946 - B1	08-17-1993	Kagami, Akari	
		US- 5,128,861 - B1	07-07-1992	Kagami, Akari	
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		US- 3,749,892 - B1	07-31-1973	Stenning, Patrick	
		US- 5,361,201 - B1	11-01-1994	Jost, Allen et al	
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		US- 4,989,141 - B1	01-29-1991	Lyons, Richard	
		US- 5,317,504 - B1	05-31-1994	Nakayama, Mamoru	
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		US- 5,193,055 - B1	03-09-1993	Brown, Gordon	
		US- 5,809,282 - B1	09-15-1998	Cooper, Dennis	
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		US- 5,812,988 - B1	09-22-1998	Sandretto, Michael	
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		US- 6,173,276 - B1	01-19-2001	Kant, Elaine	
		US- 6,112,188 - B1	08-29-2000	Hamett, William	
		US- 6,134,536 - B1	10-17-2000	Shepherd, Ian	

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>2</sup> *Number**Kind Code <sup>5</sup> (if known)				

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		Filing Date	8/27/2001
		First Named Inventor	Jeff S. Eder
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		US- 6,078,901 - B1	6-20-2000	Ching, Hugh	
		US- 5,802,501 - B1	9-1-1998	Graff, Richard A.	
		US- 4,839,304 - B1	6-13-1989	Roberts et al	
		US- 6,148,293 - B1	11-14-2000	Douglas L. King	
		US- 5,742,775 - B1	04-21-1998	Douglas L. King	
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		US- 6,064,972 - B1	05-16-2000	Jankowitz, et al	
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		US- 6,189,011 - B1	02-13-2001	Llm et al	
		US- 5,406,477 - B1	04-11-1995	Harhen, John	

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		Country Code <sup>2</sup> -Number <sup>3</sup> -Kind Code <sup>4</sup> (if known)			
		EP 0 587 290 A2	03-16-1994	Powers et al	
		GB 2 253 081 A	02-19-1992	Kaisha, Kabushi	

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		US- 6,332,163 - B1	12-18 -2001	Bowman, Amuah, Michel	
		US- 5,802,501 - B1	09-01-1998	Graff, Richard A.	
		US- 4,839,804 - B1	06-13-1989	Roberts et al	
		US- 6,092,056 - B1	07-18-2000	Tull Jr., et al	
		US- 5,706,495 - B1	01-16-1998	Chadha, et al	
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		US- 5,680,305 - B1	10-21-1997	Aggar, Mahlon	
		US- 5,406,477 - B1	04-11-1995	Harhen, John	
		US- 6,064,971 - B1	05-16-2000	Hartnett, William	
		US- 6,301,584 - B1	10-09-2001	Ranger, Denis	
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	09/938,874
Filing Date	8/27/2001
First Named Inventor	Jeff S. Eder
Art Unit	3622
Examiner Name	Frantzy Poinvil
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NON PATENT LITERATURE DOCUMENTS			
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	1	ZIPP, ALAN S.; Business valuation methods; 1993, AICPA	
	2	DAVIDOW, WILLIAM; Accounting systems are completely wrong, January 1995, Red Herring	
	3	MCTAGGERT, JAMES, KONTES, PETER AND MANKINS, MICHAEL, The value imperative, 1994, The Free Press	
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	5	RITCHKEN, PETER, Options, Theory, Strategy and Applications, 1987, Foresman and Company	
	6	DIXIT, AVINASH & PINDYCK, ROBERT; Investment under uncertainty; 1994, Princeton University Press	
	7	GARSON, DAVID; Interpreting neural network connection weights, 4/1/1991, AI Expert	
	8	WELLSTEAD, STEPHEN; Neural network and fuzzy logic applications in C/C++; 1994; John Wiley & Sons	
	9	MOST, KENNETH; Accounting theory; 1977, Grid, Inc.	
	10	HENDRIKSEN, ELDEN, Accounting theory, 1982, Richard D. Irwin	

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	11	KULKARNI, ARUN; Artificial neural networks for image understanding; 1/1/1994; Van Norstrand Reinhold	
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	15	MILLER, MERTON & MODIGLIANI, FRANCO, Dividend policy, growth and the valuation of shares, 10/1/1961,	
	16	SIMENSKY, MELVIN & BRYER, LANNING; The new role of intellectual property in commercial transactions,	
	17	WILSON, ALBERT; Emerging approaches to impaired property valuation, 4/1/1996, Appraisal Journal, v64	
	18	BROWN, GORDON T, Free cash flow appraisal, a better way, 4/1/1996, Appraisal Journal, V64, number 2,	
	19	BUSINESS EDITORS & REAL ESTATE INDUSTRY WRITERS, EQK Realty Investors I, 4/2/1992, Press Release	
	20	SWAD, RANDY, Business valuation, applicable standards for CPA's, 9/1/1995, CPA Journal v65, number 9	

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Art Unit	3622
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	21	REILLY, ROBERT; Valuation of intangibles for bankruptcy and reorganization purposes; 8/1/1994; Ohio	
	22	LIEBICH, KIM; How to value a bank; 8/1/1995; ABA Banking Journal	
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	24	MAXSON, MARK; Will you get your money's worth?; 5/1/1993, Financial Executive	
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	27	STEWART, THOMAS; Trying to grasp the intangible; 10/2/1995 Fortune	
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	31	HIRSCH, A. ETHAN, What's it worth?, 12/21/1992, Accounting Today, v6, number 24, p 16	
	32	MYERS, STEWART & HOWE, CHRISTOPHER; A life-cycle financial model of Pharmaceutical R&D; 4/1/1997; MIT	
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	35	KAUFMAN, J. JERRY; Value Management; 1998; Crisp	
	36	HBS PRESS; Measuring Corporate Performance; 1998; HBS Press	
	37	KAPLAN, ROBERT & NORTON, DAVID; The Balanced Scorecard; 1996; HBS Press	
	38	MORRIS, HENRY; Extending the Business Process, 10/6/98, IDC Presentation	
	39	AMIR, ELI; & LEV, BARUCH, "Value-relevance of non-financial information", Journal of Accounting and	
	40	ERNST & YOUNG, "Measures that Matter", 1997	

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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.08. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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